Please type a plus sign (+) inside this box → [+]

10-01-01

PTO/SB/05 (12/97)
Approved for use through 09/30/00. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	COMP:0240 P01-3649	Total Pages	45

First Named Inventor or Application Identifier

Phillip M. Jones et al.

Express Mail Label No.	EL 827 072 484 US

09/965871 U.S. PTO 09/28/01

JC629 U.S. PTO 09/965871 09/28/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form
   (Submit an original, and a duplicate for fee processing)
2. ☒ Specification **Total Pages 26**
   (preferred arrangement set forth below)
   - Descriptive
   - Cross References to Related Application
   - Statement Regarding Fed sponsored R & D
   - Reference to Microfiche Appendix
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings (***If filed***)
   - Detailed Description
   - Claim(s)
   - Abstract of the Disclosure
3. ☒ Drawing(s) (***35 USC 113***) **Total Sheets 3** **Total Pages 9**
4. Oath or Declaration
   a. ☒ Newly executed (original or copy)
   b. ☐ Copy from a prior application (37CFR 1.63(d)) ***(for continuation/divisional with Box 17 completed)***
   [Note Box 5 below]
   i. ☐ DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference ***(useable if Box 4b is checked)***
   The entire disclosure of the pnor application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

Assistant Commissioner for Patents
ADDRESS TO: **Box Patent Application**
Washington, DC 20231

6. ☐ Microfiche Computer Program ***(Appendix)***
7. Nucleotide and/or Amino Acid Sequence Submission ***(if applicable, all necessary)***
   a. ☐ Computer Readable Copy
   b. ☐ Paper Copy (identical to computer copy)
   c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney ***(where there is an assignee)***
10. ☐ English Translation Document ***(if applicable)***
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) ***(if foreign priority is claimed)***
16. ☒ Other **PTO-2038 (Credit card Payment Form)**

17. ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: /

18. CORRESPONDENCE ADDRESS

☐ ***Customer Number or Bar Code Label***

☒ ***Correspondence address below***

(Insert Customer No. or Attach bar code label here)

NAME	Michael G. Fletcher				
	Fletcher, Yoder & Van Someren				
ADDRESS	P.O. Box 692289				
CITY	Houston	***STATE***	Texas	***ZIP CODE***	77269-2289
COUNTRY	USA	***TELEPHONE***	(281) 970-4545	***Fax***	(281) 970-4503

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

10962 T42356.0

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FEE TRANSMITTAL

	Complete if Known
Application Number	Unassigned
Filing Date	Herewith
First Named Inventor	Phillip M. Jones et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
TOTAL AMOUNT OF PAYMENT ($) 848.00	Attorney Docket Number COMP:0240/FLE (P01-3649)

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: **06-1315/COMP:0240/FLE**

Deposit Account Name: Fletcher, Yoder & Van Someren

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.31(b)

2. ☒ Payment Enclosed:

☐ Check ☐ Money Order ☒ Other

PTO-2038 (Credit Card Payment Form)

FEE CALCULATION (fees effective 10/01/96)

1. FILING FEE

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
				SUBTOTAL (1)	($) 710.00

2. CLAIMS

		Extra		Fee from below		Fee Paid
Total Claims	21 - 20 =	1	X	18	=	18.00
Independent Claims	4 - 3 =	1	X	80	=	80.00
Multiple Dependent Claims			X		=	

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent
				SUBTOTAL (2) ($) 98.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	400	216	200	Extension for response within second month	
117	950	217	475	Extension for response within third month	
118	1,570	218	785	Extension for response within fourth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,320	241	660	Petition to revive unintentionally abandoned application	
142	1,320	242	660	Utility issue fee (or reissue)	
143	450	243	225	Design issue fee	
144	670	244	335	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)					
Other fee (specify)					
				SUBTOTAL (3)	($) 40.00

* Reduced by Basic Filing Fee Paid

SUBMITTED BY				Complete (if applicable)
Typed or Printed Name	Robert A. Manware	Reg. Number	48,758	
Signature		Date	09/28/01	Deposit Acct. User ID: 06-1315 - COMP:0240/FLE (P01-3649)

PTO/SB/17 (10-96)